

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of David P. Dube et al.

Docket No.: EH-10939

Serial No.: new application

Group Art Unit: not yet assigned

Filed:

Examiner: not yet assigned

Title: Anti-Rotation Lock

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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**INFORMATION DISCLOSURE STATEMENT**

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- ☒ 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application (other than a CPA under 37 C.F.R. 1.53(d)), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 C.F.R. 1.114, whichever event occurs last. No certification or fees are required.
- ☐ 37 C.F.R. 1.97(c) - This information disclosure statement is filed before the mailing date of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. Box (1) or (2) under **CERTIFICATION AND FEE** has been marked accordingly.
- ☐ 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the period specified in 37 C.F.R. 1.97(c), but on or before payment of the issue fee. Box (1) and (2) under **CERTIFICATION AND FEE** have been marked accordingly.

**CERTIFICATION AND FEE**

(1) ☐ 37 C.F.R. 1.97(e) Certification – Either:

☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

(2) ☐ The \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 21-0279, Order No. EH-10591 (a duplicate copy hereof is enclosed).

Respectfully submitted,

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Date: April 19, 2004

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>Information Disclosure Citation</b> (Use several sheets if necessary)	Attorney Docket:  <b>EH-10939</b>	Serial No:  Not yet assigned
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Applicant: David P. Dube et al.	
Filing Date:	Group: not yet assigned

**U.S. PATENT DOCUMENTS**

Examiners Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date
	20030068225		Housley et al.			
	20030082051		Bertrand et al.			
	2,915,281	12/1/59	O.E. Ridley et al.			
	2,974,928	3/14/61	O.E. Ridley			
	3,104,091	9/17/63	W.E.D. Vivian			
	4,219,286	8/26/80	Lindenthal			
	5,846,050	12/8/98	Schilling			
	6,296,443	10/2/01	Newman			
	6,537,022	3/26/03	Housley et al.			
	6,585,479	7/1/03	Torrance			

**FOREIGN PATENT DOCUMENTS**

Examiners Initial	Document Number	Date	Country	Class	Sub- Class	Translation

**Other Documents (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiners Initial		

Date Considered:	Examiner:
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\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.